

Principal Component Analysis of RNA-Seq Data Unveils a Novel Prostate Cancer-Associated Gene Expression Signature

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Abstract

Prostate Cancer (Pca) is a highly heterogeneous disease and the second more common tumor in males. Molecular and genetic profiles have been used to identify subtypes and guide therapeutic intervention. However, roughly 26% of primary Pca are driven by unknown molecular lesions. We use Principal Component Analysis (PCA) and custom RNA-seq data normalization to identify a gene expression signature which segregates primary Prostate Adenocarcinoma (PRAD) from normal tissues. This Core-Expression Signature (PRAD-CES) includes 33 genes and accounts for 39% of data complexity along the PC1-cancer axis. The PRAD-CES is populated by protein-coding (*AMACR*, *TP63*, *HPN*) and RNA-genes (*PCA3*, *ARLN1*), validated/predicted biomarkers (*HOXC6*, *TDRD1*, *DLX1*), and/or cancer drivers (*PCA3*, *ARLN1*, *PCAT-14*). Of note, the PRAD-CES also comprises six over-expressed lncRNAs without previous Pca association, four of them potentially modulating driver's genes *TMPRSS2*, *PRUNE2* and *AMACR*. Overall, our PCA capture 57% of data complexity within PC1-3. Gene ontology enrichment and correlation analysis comprising major clinical features (i.e., Gleason Score, AR Score, *TMPRSS2-ERG* fusion and Tumor Cellularity) suggest that PC2 and PC3 gene signatures may describe more aggressive and inflammation-prone transitional forms of PRAD. Of note, surfaced genes may entail novel prognostic biomarkers and molecular alterations to intervene. Particularly, our work uncovered RNA genes with appealing implications on Pca biology and progression.

Keywords: Principal component analysis; RNA-seq; Prostate cancer; Biomarkers; RNA genes

Introduction

Prostate cancer (Pca) is the second most common cancer in men [1]. Multiple genetic and demographic factors contribute to the incidence of Pca [2]. Prostate-Specific Antigen (PSA) screening allows detection of nearly 90% of prostate cancers at initial stages when their surgical removal is the preferred medical intervention [3]. Of note, during their life-time, most of these patients would never experience Pca, therefore the disease is considered over-diagnosed and over-treated [4].

The clinical outcome of Pca is highly variable, and precise prediction of disease's course is not possible [5]. Major risk stratification systems are based on clinical and pathological parameters such as Gleason score, PSA levels, TNM system and surgical margins [6]. However, the above risk stratification systems fail to adequately predict outcome in many cases [7,8]. Thus, novel serum-, urinary-, and tissue-based biomarkers are constantly tested and implemented [9]. Of note, for those tumors spreading beyond the prostatic gland (i.e., local and/or distant metastasis) the prognosis is more dismal, and effective therapies are needed [10,11]. Renewed expectations are still rooted into emerging and hopefully more tractable Pca molecular alterations [12,13].

Comprehensible genome-wide analysis of primary Prostate Adenocarcinoma (PRAD) revealed already known and novel molecular lesions for 74% of all tumors [14]. The most common alterations were fusions of androgen-regulated promoters with *ERG* and other members of the E26 Transformation-Specific (ETS) family of transcription factors. Particularly, the *TMPRSS2-ERG* fusion is the most representative molecular lesion, accounting for 46% of study cases. Pca also show varying degrees of DNA copy-number alteration, whereas somatic point mutations are relatively less common [15,16]. Despite this detailed molecular taxonomy of PRAD, roughly 26% of primary Pca of both, good and poor prognosis, are driven by unknown molecular lesions.

Principal Component Analysis (PCA) is an unsupervised analysis method providing information about major directions of data variability and structure, thus reducing the overall dimensionality of complex datasets to a few dominant components [17].

Based on global gene expression data, PCA usually reveals underlying population heterogeneity, including cell differentiation stages, malignant phenotypes and treatment induced changes, which can be linked to phenotypes and further characterized [18].

Biological meanings are usually captured by the first 3-4 PCs, although further improvements on PCA revealed that higher dimensions may also entail biology information [19].

Recently, we used Principal Component Analysis (PCA) analysis of RNA-seq expression data to show that a relatively small number of “core genes” can segregate normal from neoplastic tissues in different tumor localizations [20].

Here, by using such PCA we analyze primary PRAD RNA-seq data to uncover and characterize a novel PRAD-Core Expression Signature (PRAD-CES) which may “describe” at expression level Pca [21,22].

The PRAD-CES segregates tumor from normal samples along what we call the cancer axis (i.e., PC1), whereas top genes populating PC2 and PC3 might reflect a more aggressive and inflammation-prone transitional forms of PRAD.

Overall, the list of surfaced genes may entail novel prognostic biomarkers and/or molecular alterations to intervene. Particularly appealing, was the identification of several RNA genes with potential implications on Pca biology and progression.

Materials and Methods

RNA-seq data

For PCA we take RNA-seq tissue expression data from the TCGA Prostate Adenocarcinoma project (TCGA-PRAD, Accessed in March 2019).

The data is in the number of fragments per kilo base of gene length per mega-base of reads format (FPKM). The studied cases include 499 tumor samples and 52 normal samples. At Cbioportal such data belong to Prostate Adenocarcinoma (TCGA, Firehose Legacy) cohort.

Two other data cohorts were used in particular analysis: Prostate Adenocarcinoma (TCGA, Cell 2015) and Prostate Adenocarcinoma (MSKCC, Cancer Cell 2010).

PCA analysis

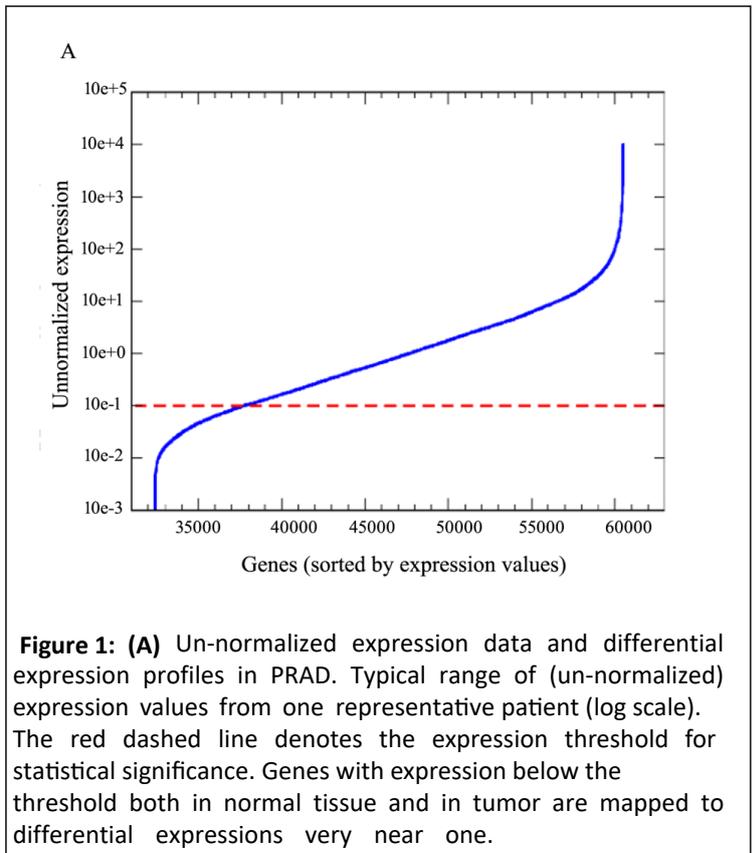


Figure 1: (A) Un-normalized expression data and differential expression profiles in PRAD. Typical range of (un-normalized) expression values from one representative patient (log scale). The red dashed line denotes the expression threshold for statistical significance. Genes with expression below the threshold both in normal tissue and in tumor are mapped to differential expressions very near one.

Figure 1A shows in a typical PRAD sample that the expression of more than 35000 genes is below 0.1. We shift the expression by 0.1 in such a way that, when computed the differential expressions, genes with not statistically significant expressions are ruled out of the analysis. Then, we take the mean geometric average over normal samples in order to define the reference expression for each gene, and normalize accordingly to obtain the differential expressions, $\bar{e} = e / e_{ref}$. Finally, we take the base 2 logarithm, $\hat{e} = \log_2(\bar{e})$, to define the fold variation. Besides reducing the variance, the logarithm allows treating over- and sub-expression in a symmetrical way. The co-variance matrix is defined in terms of \hat{e} . We forced the reference for the PC analysis to be at the center of the cloud of normal samples, $\hat{e} = 0$. This is what actually happens in a population, where most individuals are healthy and cancer situations are rare.

With these assumptions, the covariance matrix is written: $\sigma_{2ij} = \sum \hat{e}_i(s) \hat{e}_j(s) / (N_{samples} - 1)$, where the sum runs over the samples, s , and $N_{samples}$ is the total number of samples in the study. $\hat{e}_i(s)$ is the fold variation of gene i in samples. The dimension of matrix σ_2 is 60483, that is equals the number of genes in the data. By diagonalizing this matrix, we get the axes of maximal variance: The Principal Components (PCs). They are sorted in descending order of their contribution to the variance. As mentioned, PC1 captures 39% of the total data variance, PC2 11%, PC3 7%, etc. These results suggest that we may achieve a reasonable description of the main biological characteristics of

PRAD using only a small number of the eigenvalues and eigenvectors of σ_2 . To this end, we diagonalize σ_2 by means of a Lanczos routine in Python language, from which we get the first 100 eigenvalues and their corresponding eigen-vectors.

Gene information and genome visualization

General gene information was collected from Gene cards integrated data sources including but not limited to expression, tissues-specificity, sub-cellular localization and diseases association data [23]. Genome visualizations were done with Ensemble release 100-April 2020, Genome assembly: GRCh38.p13 (GCA_000001405.28) [24].

LncRNA databases

To identify any previous association among identified LncRNAs and cancer, the following non-redundant databases were reviewed: Lnc2Cancer 2.0: An updated database that provides comprehensive experimentally supported associations between lncRNAs and human cancers [25]. LncRNA disease 2.0: contains experimentally and/or computationally supported data [26]. Cancer LncRNA Census (CLC): a compilation of 122 GENCODE lncRNAs with causal roles in cancer phenotypes [27]. The miRTarBase was used to uncover ceRNAs among selected lncRNAs [28].

Enrichment analysis

The enrichment analysis was performed using the Enrich platform and the following categories: Ontologies (GO_Biological_Process_2018), Pathways (Reactome_2016) [29].

Cbioportal

Oncoprint visualizations for selected Genomic Profiles, Alteration Frequency, and mutations representation were obtained from Cbioportal.

Cancer driver repositories and driver prediction platforms

To search for any previous cancer association of identified genes the Cancer Gene Census and OncoKB databases were reviewed [30,31]. The driver prediction platforms IntoGene and ExInAator were used to predict a potential driver role for protein-coding and non-coding genes [32,33].

Pearson correlation

Correlations among selected Pca clinical features and the PCs variables were performed using a Mathematica function (Pearson Correlation Test). A normal distribution of the variables is required.

Results

Data normalization surfaced a n age-independent aberrant gene expression profile

In our analysis there are 52 samples of “normal” prostate tissues, 498 primary tumors samples, and one metastatic sample. RNA-seq data comprise expression values for 60483 independent genes, roughly 35000 of them are not transcribed at significant levels in prostate samples shown in **Figure 1A**.

Considering sample availability, we dicotomized the RNAseq data from “normal” and “neoplastic” tissues into two arbitrary age cohorts, with the “old” threshold set at ≥ 62 years (age range: 42-78, median=62) (Figure S1). Thus, “normal patient samples were divided in “young” samples (n=28, NY) and “old” patient samples (n=24, NO); whereas primary tumors samples were divided in “young” tumor samples (n=249, TY) and “old” ones (n=250, TO). While such distribution seems arbitrary and dictated by data availability, only 1 out of 4 new PRAD diagnostic cases occurs below 60 years, whereas the mean diagnosis age is 66 years [34].

The normalization of expression values for each of the data cohorts TY and TO against NY group data indicates that the neoplastic transformation entails a similar and genome wide over and under-expression of genes, irrespective of the age of the patients included in the analyzed data cohort (i.e., TY vs. TO) (**Figures 1B and 1C**).

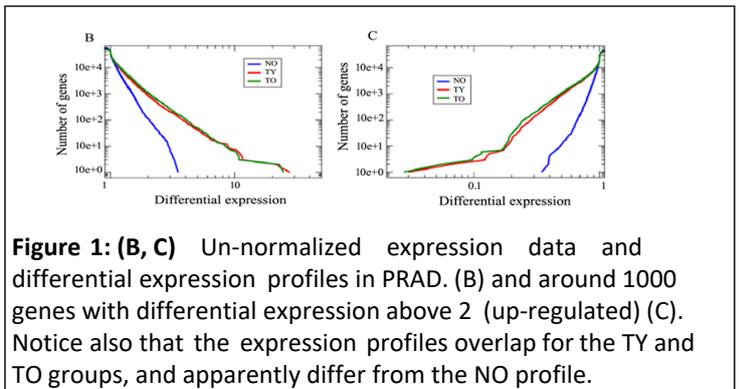


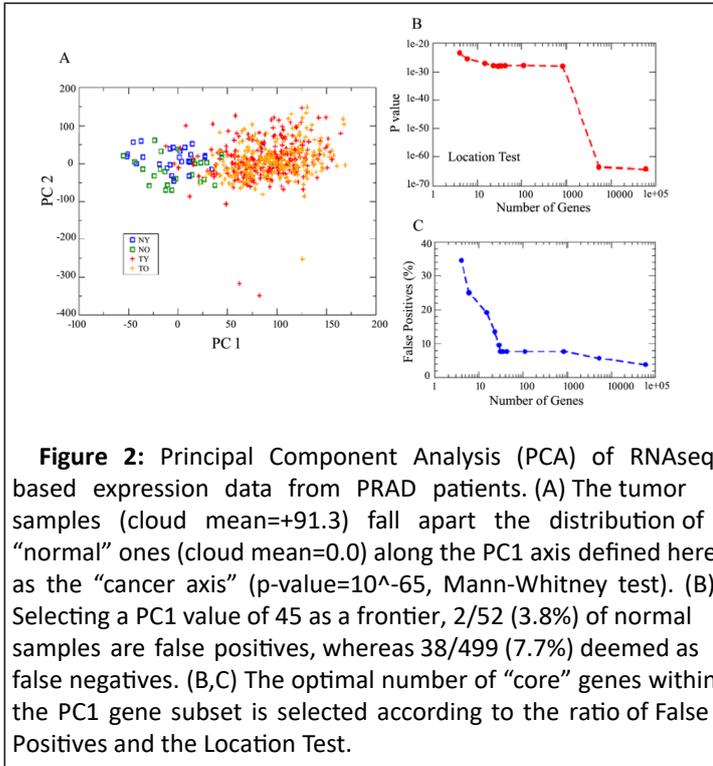
Figure 1: (B, C) Un-normalized expression data and differential expression profiles in PRAD. (B) and around 1000 genes with differential expression above 2 (up-regulated) (C). Notice also that the expression profiles overlap for the TY and TO groups, and apparently differ from the NO profile.

Overall, we found roughly 1000 genes with normalized expression values above 2 and about the same number of genes with normalized expression values below 0.5.

Principal component analysis unveils a core expression signature

The eigenvectors of the covariance matrix defined the PCs axes: PC1, PC2, etc., and projection over them defines the new state variables. By definition, PC1 captures the highest fraction of the total variance in the sample set (i.e., PC1=39%), whereas the rest of components are sorted in descending order of their contribution to the variance 11% (PC2), 7% (PC3), 5% (PC4) and so on. Overall, the 8 first PCs comprised 74% of the data variance. Of note, 50% of data variance can be captured by the two major Principal Components (i.e., PC1 and PC2).

The PCA reveals that a Core Expression Signature composed of 33 genes from PC1 (hereafter, PRAD-CES 33) can segregate primary neoplastic samples from normal prostatic tissues with roughly 4% and 8% of false positives and false negatives, respectively (Figures 2A-2C).



Beyond such 33 genes, the addition of subsequent genes only slightly improves the ratio of false positives and the segregation of neoplastic from normal samples along the PC1 axis.

The position along the PC1 axis of a sample is computed as $x_1 = \sum \hat{e}_i v_{1i}$, where v_{1i} are the components of the unitary vector along this axis. A barcode-like representation of the amplitudes for such 33 genes is represented (Figure S2). The greatest value (i.e., over-expression) corresponds to a well know driver and biomarker gene in PRAD, the Prostate Cancer Associated 3 (PCA3) antisense [35,36]. Otherwise, the most underexpressed genes within this PRAD-CES are the protein coding gene SEMG1 [37]. Further barcode like analysis of top-100 genes contributing to PC1 axis shown a similar profile. Detailed information about the 33 genes included in the core signature are described (Table S1).

Notice that a picture like Figure 2B is drawn by recomputing the positions of samples along PC1, the ratio of false positives, etc. by using only the first n genes, ordered according to the module of their amplitudes in vector v_1 .

Finally, the distribution of tumor samples according to PRAD-CES on the PC1-PC2 plane was similar, irrespective of the age range (i.e., TY cloud median=87, TO cloud median=64). These results imply that not only the global normalized gene expression profile is similar among TY and TO in PRAD cases; rather, than a small number of core genes could become a molecular signature of the neoplastic state, irrespective of the age of the patient (i.e., PRAD-CES33).

Protein coding and RNA-genes compose the PRAD-CES33

The surfaced PRAD molecular signature its composed by protein coding (70%), as well as RNA-genes, including antiSense, pseudogene, and LncRNA (30%). The expression of the corresponding proteins was observed for 9/23 coding genes, whereas 6/10 RNA genes were detected in malignant prostate tissues. Of note, 20/23 (87%) protein coding genes have been previously associated to cancer, 18 (78%) particularly to Pca. Otherwise, 3/10 RNA genes have been connected to Pca (33%)(Table S2).

PRAD-CES genes displayed low mutational burden with less than 5% of all samples having mutations (Figure S3). Otherwise, roughly 15% of primary PRAD samples harbor CNV on PRAD-CES genes, being predominant deep deletions. The overall alteration frequency of PRAD-CES genes is roughly half of Pca driver genes annotated in the CGC (i.e., 21% vs. 42% of cumulative alteration frequency, respectively).

Core expression signature includes emerging drivers and biomarkers

A text-mining indicated at least 18 surfaced genes may play driver roles in PRAD. However, only TP63 is enlisted in the CGC database as Tier 1 driver for NSCLC, HNSCC and DLBCL cancers, but not Pca. None of the remaining 23 protein coding genes populate CGC or OncoKB databases, nor two orthogonal driver prediction tools (i.e. IntoGene and ExInAator) found further drivers among PRAD-CES genes.

Otherwise, we search for non-coding genes that might be predicted as drivers by Ex-InAator. PCA3 was the only significantly mutated LncRNA predicted as a driver, de-spite four of the six LncRNAs were analyzed (i.e., PCA3, APO06748.1, APO01610.2, ARLNC1) (data not shown).

To further investigate RNA genes previously associated with cancer, we search three LncRNA databases Lnc2Cancer, LncRNADisease and Cancer LncRNA Census. Three (i.e., ARLNC1, PCA3, PCAT-14), six (i.e., AC092535.4, APO01610.2, APO02498.1, APO06748.1, PCA3 and PCAT14), and one RNA gene (i.e., PCA3), respectively; were previously associated with cancer (Table 1). Of note, the PRAD driver TMPRSS2 was predicted as mRNA target for APO01610.2 and APO06748.1 LncRNAs according to LncRNADisease database.

Table 1: LncRNA included in PRAD-CES and their association with cancer according to indicated databases.

LncRNA	Database	Method	Tumor type	Role	mRNA target(s)	Reference
ARLNC1	Lnc2Cancer 2.0a	RNA-seq, qPCR, Northern blot	Prostate	Driver	CDYL2β	29808028
PCA3	Lnc2Cancer 2.0a	qPCR, Western blot	Prostate and others	Driver; Biomarker	PRUNE2	30569456
PCAT-14	Lnc2Cancer 2.0a	RNA-seq, qPCR, RNAi, ISH	Prostate and others	Driver; Biomarker	IGLL1, DRICH1	27566105

AC092535.4	LncRNA Disease	Predicted lncRNA - disease	Cervical and others	?	<i>CTBP1</i> , <i>SPON2</i> , <i>RNF212</i>	not found
AP001610.2	LncRNA Disease	Predicted lncRNA - disease	Cervical and others	?	<i>TMPRSS2</i> , <i>MX1</i>	not found
AP002498.1	LncRNA Disease	Predicted lncRNA - disease	Cervical and others	?	<i>CAPN5</i> , <i>B3GNT6</i> , <i>ACER3</i>	not found
AP006748.1	LncRNA Disease	Predicted lncRNA - disease	Cervical and others	?	<i>TMPRSS2</i>	not found
<i>PCA3</i>	LncRNA Disease	ncRNA - disease causality	Prostate and others	Driver; Biomarker	<i>PRUNE2</i>	27743381; 26594800
<i>PCAT-14</i>	LncRNA Disease	ncRNA - disease causality	Prostate and others	Driver; Biomarker	<i>IGLL1</i> , <i>DRICH1</i>	27460352; 27566105
<i>PCA3</i>	Cancer LncRNA Census	qPCR, Western blot	Prostate and others	Driver; Biomarker	<i>PRUNE2</i>	27743381; 26594800

Note: a: Experimentally supported; b: Experimentally and/or computationally supported; c: GENCODE lncRNAs with causal roles; *Top associated tumor; α from text-mining; β Predicted using LncRNADisease tool.

Finally, two others surfaced LncRNAs may impinge on Pca relevant genes according to a genomic inspection. The LncRNA *AL359314.1* overlap with *PCA3* and may reinforce the negative regulation of *PCA3* over *PRUNE2*; whereas *AC139783.1* is transcribed within the *AMACR* protein coding gene (Figures 3A and 3B).

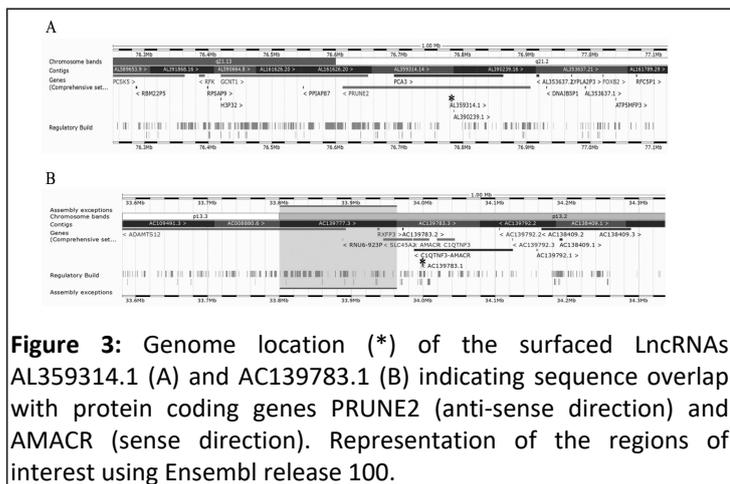


Figure 3: Genome location (*) of the surfaced LncRNAs *AL359314.1* (A) and *AC139783.1* (B) indicating sequence overlap with protein coding genes *PRUNE2* (anti-sense direction) and *AMACR* (sense direction). Representation of the regions of interest using Ensembl release 100.

Aberrant expression of PRAD-CES genes on independent datasets

The expression of PRAD-CES genes were further analyzed on three independent prostate cancers studies [38-40]. Three putative emerging drivers in PRAD were consistently down-regulated across the analyzed datasets. *AMACR*, *SIM2* and *GPX2* protein coding genes were significantly up-regulated (*AMACR*, *SIM2*) or down-regulated (*GPX2*) in both primary and metastatic samples from lymph node and multiple sites (Figures 4A-4C, Table S3).

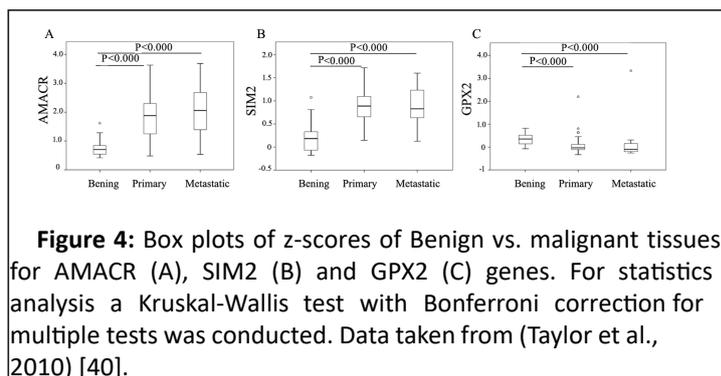


Figure 4: Box plots of z-scores of Benign vs. malignant tissues for *AMACR* (A), *SIM2* (B) and *GPX2* (C) genes. For statistics analysis a Kruskal-Wallis test with Bonferroni correction for multiple tests was conducted. Data taken from (Taylor et al., 2010) [40].

Overall, 14 of 33 PRAD-CES genes were included in the Lapointe dataset (Figure S4). Whereas, the expression of 11 of them were consistently up or down regulated in this dataset, three showed no statistical differences (i.e., *COMP*, *SEMG1* and *SEMG2*). On the other hand, in the Taylor dataset 17 of 33 PRAD-CES genes were detected (Figure S5). The expression of 11 genes were found consistently up- or down-regulated in primary tumors vs. benign tissues in agreement with our RNAseq-data, whereas no significant differences were found for 6 genes (i.e., *GSTM1*, *SERPINA5*, *COMP*, *SLC39A2*, *SEMG1* and *SEMG2*). Finally, in the Ross-Adams dataset 19 of the 33 PRAD-CES genes were detected. The expression of 17 genes were found consistently up- or down-regulated in primary tumors vs. benign tissues, whereas no significant differences were found for 2 genes (i.e., *SEMG1* and *SEMG2*) (Figure S6).

PCs: Enriched biological processes and correlation with major clinical features

To seek for biological meanings beyond that of the individual genes populating the PCs, the top 33 genes from PC1, PC2 and PC3 were submitted to enrichment analysis to identify associated Biological Process. Of note, the top 33 genes populated PC1 (i.e., PRAD-CES) were mainly associated with tumor-intrinsic processes (GO:1900003, GO:0010950, GO:0007283, GO:0048232; $p < 0.01$); whereas the Biological Process related to PC2 (GO:0006958, GO:0002455, GO:2000257, GO:0030449; $p < 0.001$) and PC3 (GO:0050864, GO:0099024, GO:0051251, GO:0006911; $p < 0.001$) suggested involvement of the Innate and adaptive Immune System (Figures 5A-5C, Data S1).

were surfaced: *ARLNC1*, *PCA3*, and *PCAT-14* [42-44]. Otherwise, six protein coding genes awaits further validation concerning PRAD driver roles: *OR51E2*, *HPN*, *AMACR*, *DLX1*, *HOXC6* and *WFDC2* [45-50]. Concerning potential or validated biomarkers, the PRAD-CES list contains 15 RNA-or protein-coding genes with such a role. Among them *HOXC6*, *TDRD1*, and *DLX1* have been already proposed to identify patients with aggressive prostate cancer [51]. *TDRD1* might also play an important role in prostate cancer development, and as a cancer/testis antigen, a potential therapeutic target for cancer immunotherapy [52].

Of note, cross-validation of PRAD-CES genes using independent data cohorts, indicated that most of these genes were consistently deregulated in primary PRAD, with notable exceptions on comp, *semg1* and *semg2* genes. Otherwise, the expression of 14 PRAD-CES genes could not be verified in all datasets. Overall, the most consistent genes among those detected across all analyzed data were *OR51E2*, *SIM2*, *HPN*, *SLC45A2*, *TDRD1*, *PCA3*, *DLX1*, *AMACR*, *WFDC2*, and *HOXC6*.

On the other hand, our PCA surfaced nine over-expressed RNA genes, six of them lacking previous association with Pca. Particularly, four lncRNAs could target PRAD driver's genes *TMPRSS2*, *PRUNE2* and *AMACR*. One interesting finding was the genome proximity/overlap among PRAD-CES over-expressed genes *AC139783.1*, *AMACR* and *SLC45A2* on Chromosome 5. *SLC45A2-AMACR* was reported as a novel fusion protein which is associated with progressive Pca disease [53]. Otherwise, among several miRNAs which may down-regulate *AMACR* expression in Pca, the potential sponging of hsa-miR-26a-5p by the surfaced *AC139783.1*, needs to be addressed. *AMACR* over-expression have been associated with Pca evolution towards hormone-independency, whereas *AMACR* inhibition seems a feasible strategy to treat hormone-refractory prostate cancer patients. lncRNA over-expression in Pca has been related with disease progression, used as prognostic factor, or proposed as therapeutic targets [54-56].

The most frequent molecular abnormalities in PRAD involved gene-fusions, copy-number alterations and epigenetic deregulation. As a matter of facts, the mutational burden observed in surfaced PRAD-CES genes was low, suggesting that expression levels and not co-existing mutations determine the PCA-based segregation of tumor from normal samples. Furthermore, less than 3% of PRAD samples included in our study displayed CNV, thus suggesting that most of the observed gene expression deregulation arose from epigenetic and/or other transcription-based mechanism.

Finally, we selected four Pca molecular/clinical features to correlate with PCs 1-3. The first, Gleason score, remains as a cornerstone pathological criteria for risk-stratification and disease prognosis [57]. Furthermore, primary prostate cancer is androgen dependent, and androgen-mediated signaling is crucial in prostate cancer pathogenesis, driving the creation and over-expression of most ETS fusion genes [58,59]. Among such ETS fusion genes, *TMPRSS2-ERG* fusion accounts for 46% of cases. The fourth clinical feature, i.e. tumor cellularity, was used here as a proxy for non-prostatic yet-relevant infiltrating populations [60]. The observed correlations indicated PC1 reflects the underlying primary PRAD biology with positive

correlation among Gleason Score and Tumor Cellularity, as well as among this variable and AR Score. Otherwise, genes comprising PC2 and PC3 might reveal a transition towards a more aggressive and inflammation-prone phenotype, with a mixture of tumor epithelial cells and infiltrating immune cells [61,62]. This notion seems also supported by a weaker correlation of PC2 and PC3 genes with tumor cellularity, but also by the increasingly positive correlation among genes populating PCs 1-3 and the AR Score (i.e., from 0.23 to 0.45). Of note, only PC3 genes positively correlated with *TMPRSS2-ERG* fusion. Altogether, an intriguing possibility is whether PC3-populating genes may describe an inherent fraction of highly infiltrated tumor cells endowed to metastasize [63-65].

Conclusion

Overall, our study is limited by data availability/structure and biopsy bias as any global transcriptome inquire. Primary prostate tumors are multi-focal and molecularly heterogeneous; thus, the surfaced gene expression signature may "described" only the sampled site, which also contains different cells from the tumor micro-environment. However, our PCA indeed uncover relevant PRAD genes found dispersed across several studies, providing new putative biomarkers and/or drivers. In this sense, the inclusion of *PCA3* within our PRAD-CES seems encouraging since this lncRNA is well recognized as causative, prostate-specific and feasible biomarker which is secreted to an easy-to-inquire biological fluids. Finally, as therapeutic options for poorly tractable Pca are limited, the evaluation of putative novel molecular targets populating PRAD-CES seems appealing.

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