#### **Research Article**

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# Sleep Disturbance after Cancer Diagnosis and Treatment- A Multifaceted Clinical Problem - A Pilot Study

### Abstract

**Purpose:** Cancer patients may experience simultaneous effects of the disease, as well as side effects from the treatment. These factors may all contribute to sleep disturbances. This study explores sleep disruption among cancer patients who undergo systemic adjuvant or palliative oncological treatment.

**Methods:** Patient-reported data was collected using three questionnaires. The Medical Outcomes Study Sleep Scale, the European Organization for Research and Treatment of Cancer (EORTC) QLQ-C30 questionnaire as well as study-specific open-ended questions. The patients responded at the initial onset of treatment alternatively when changing to a new line of treatment as well as three months later. The analysis was performed using "Svenssons' method" for paired ordered categorical data.

**Results:** Seventy-two (80%) of the ninety patients responded. Of these, 82% (n=59) reported having insufficient sleep at baseline and 86% (n=62) at follow-up. Health-related quality of life was affected in 92% (n=66) of the patients with a wide variation (Range variation of 0.22). The main causes of sleep disturbance reported at baseline were the disease itself and anxiety. At follow-up the main causes were anxiety and nocturia. The level of anxiety as a self-reported cause of sleeping disturbances in the open-ended questions were similar both before treatment and at follow up due to coping strategies established by the patients.

**Conclusion:** Insufficient sleep is a problem for the cancer patients in this study. The perception of sleep showed a heterogeneous pattern. The cancer treatment does not seem to further worsen the perception of the sleep disturbance. As sleep disturbance is a problem this should be of concern in the clinical care for the cancer patients and an individualized approach should be used.

Keywords: Sleep disturbance; Quality of life; Chemotherapy; EORTC QLQ C30; MOS-scale

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### Introduction

Cancer treatments are typically associated with a variety of side effects [1] amongst which emotional distress, nausea, fatigue, bone marrow depression, anxiety and depression are the most frequently reported. In addition, many patients also report sleep disturbance which includes reduced sleep duration and quality [2-4].

Sleep is important to maintain the natural rhythm of the body.

Insufficient or reduced quality of sleep will impact the different biological clocks and they may suddenly stop working as supposed [5]. The sleep systems are firmly integrated in almost all aspects of human physiology including cellular metabolism, cardiovascular functions, cognitive functions and immune functions which is good in normal conditions [6]. Every cell of the body has a clock that communicates with the suprachiasmatic nucleus (SCN) in the hypothalamus within the brain. This is important in order to regulate and synchronize the function of peripheral clocks [6]. Normally, the SCN via the retinohypothalamic tract senses daylight and uses this signal to coordinate bodily functions. The pineal gland assists the body to fall asleep and recover from the day-time stressors during night-time [7].

Savard [8,9] and Fiorentino [10] both reported that 30-50% of all cancer patients report sleep disturbance as a problem related to nocturia, night sweat and pain. If the sleep is disturbed, it will also have a broad, negative impact on cognitive and physiological functions [11,12]. Patients quality of life (QoL) may therefore be severely affected [13].

In order to provide high quality care for cancer patients, it is essential to understand the mechanisms underlying the interactions between sleep, malignant disease severity and cancer therapy. However, how this translates to the care of newly and currently diagnosed cancer patients, a patient group at particular risk of developing sleep disturbances, are poorly understood.

The aim of this study is to explore sleep disturbance among cancer patients undergoing systemic adjuvant and/or palliative oncological treatment.

# **Materials and Methods**

#### Design

In this cross-sectional cohort study, patients who are currently undergoing systemic adjuvant and/or palliative oncological treatment were included. The study was performed at the Department of Oncology, Ryhov County Hospital Jönköping, Sweden from January 2017 to May 2018. The Regional Ethical Review Board approved the study (Dnr 2016/379-31) which follows the Helsinki declaration of ethical principles for medical research involving humans.

#### **Participants**

**Sample:** Ninety consecutive patients with different diagnoses of cancer were informed about the study verbally and informed consent was obtained from all individual participants included in the study by an oncology research nurse. The patients could withdraw from the study at any time.

**Inclusion criteria:** The inclusion criteria were: a performance status estimated by toxicity and response criteria of the Eastern Cooperative Oncology Group (ECOG) of  $\leq 1$ , age  $\geq 18$  years and being able to understand and speak Swedish. The patients would start an adjuvant or palliative systemic oncological treatment.

#### **Data collection**

- Patient reported data were collected at baseline, before initial systemic adjuvant and/or palliative cancer treatment and at follow-up, three months later.
- We used the Medical Outcomes Study (MOS) Sleep Scale, which is a generic, self-administered measure of sleeping patterns questionnaire [14].
- Study-specific open-ended questions related to sleep (quality of sleep, the cause of any sleep problems and sleep pattern).
- The European Organization for Research and Treatment of Cancer (EORTC) QLQ-C30 questionnaire [15].

**Clinical data:** Demographic data including age, Body Mass Index (BMI) and occupational status of the patients were collected from the medical record. Eastern Cooperative Oncology Group (ECOG) [16] scale of performance status was registered. Information regarding smoking habits, alcohol consumption and previous experiences of nausea was collected as a part of the inclusion.

#### Instruments:

(A) Sleep: The Medical Outcomes Study (MOS) Sleep Scale is a 12-item scale, measuring 6 dimensions of sleep: disturbance (e.g., the ability to fall and stay asleep), adequacy (e.g., sleeping enough to feel rested and restored), quantity (e.g., the number of hours slept), somnolence (e.g., daytime sleepiness), snoring and shortness of breath and/or headaches. Participants were asked to recall sleep quality during the previous four weeks both at baseline and three months later.

MOS Item 1 (How long did it usually take for you to fall asleep during the past four weeks?) have the following response options: "0-15 minutes", 16-30 minutes", "31-45 minutes", "46-60 minutes" or "More than 60 minutes". For MOS Item 2 (On the average, how many hours did you sleep each night during the past four weeks?) the patient estimates the number of hours they consider they slept. The remaining 10 MOS items have five-scale response options, ranging from 'none of the time' to 'all of the time' [14].

Three study-specific open-ended questions were used to determine the patient's own assessment of their sleep quality and causes of sleep problems. The patients reported those questions at baseline and follow-up. The items were: 1. "Describe how you experience the quality of your sleep." 2. "What do you think may be the cause of any sleep problems?" and 3. "Describe your sleep pattern."

**(B)** Health-Related Quality of Life (HRQoL): The EORTC QLQ-C30 questionnaire was used to study the health-related quality of life. The questionnaire incorporates five functional dimensions (physical, role, cognitive, emotional, and social), three symptomatic dimensions (fatigue, pain, and nausea/vomiting), a global health status/QoL dimension as well as several items measuring extra symptoms commonly reported by cancer patients (dyspnea, loss of appetite, insomnia, constipation and diarrhea) and the perceived financial impact of the disease. The response categories for items 1 to 28 "Not at all", "A little", "Quite a bit" and "Very much". Items 29 and 30 have a different respond scale from 1 to 7 where 1 is the worst and 7 are the best. The authorized Swedish version of the QLQ-C30 was used [15].

#### **Statistical analysis**

For the analysis in the open-ended questions we used a descriptive quantitative analysis. We computed the median and percentages for the categorical variables in order to focus on experiences from an individual perspective. For the analysis of both questionnaires, MOS and EORTC QLQ C30, "the Svensson method" was used to evaluate eventual disagreement between base line and follow up on the ordered categorical items for the paired ordered categorical data. If there is a systematic change this can be a sign of a common group change whereas a random variability

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could be a sign of individual change [17]. There are four main outcomes from the analysis: Pairwise identical answers- Percent agreement (PA 0 – 100%), group-level systematic disagreement - Relative Position (RP, -1 to +1), concentration of the systematic change - Relative Change (RC, -1 to +1) and individual variability Relative Rank Variance (RV, 0 to +1). An RV result > 0.20 indicates a non-negligible heterogeneity. The results for RP, RC and RV are presented with the value and 95% confidence interval. If the interval includes zero, this is a sign of a lack of statistical significance.

For the evaluation of time slept between baseline and follow-up paired t-test were used. The null hypothesis is no difference in self estimated hours slept from baseline to follow-up.

 Table 1 Demographic data of the responding patients.

Demographic data								
Age, years (min-max) 31-82	n= (%)							
Female (mean) 61 years	28 (37)							
Male (mean) 68 years	47 (63)							
Performance Status (ECOG)* n= (%)								
0	46 (63)							
1	29 (37)							
Sleep quality n= (%)								
Good	54 (75)							
Not good	18(25)							
Alcohol consumption, n= (%)								
No	23 (30)							
Yes	52 (70)							
Nausea experience before treatment, n= (%)								
Pregnancy	8 (11)							
Travel nausea	7 (9)							
Not nausea at all	60 (80)							
Occupational status, n= (%)								
Retired	49 (65)							
Working	25 (33)							
Unemployed	1 (2)							
Civil status, n= (%)								
Married/partner	64 (85)							
Single	10 (13)							
Widower	1 (2)							
Tobacco use, n= (%)								
No	65 (87)							
Yes	10 (13)							
BMI								
Min-max	19-43							
Median	26							
Tumor classification								
Gastrointestinal cancer	50							
Urothelial cancer	11							
Breast cancer	11							
Brain tumor	1							
Tonsillar cancer	1							
Cancer unknown primary	1							

\*ECOG Performance Status = Toxicity and response criteria of the Eastern Cooperative Oncology Group.

### Results

Ninety patients were enrolled in the study. For different reason, seventeen (19%) patients did not complete the study. At baseline, eighty (89%) patients responded to the questionnaires and seventy-two (80%) patients responded at follow-up. The patients were undergoing treatment for a variety of cancer diagnoses e.g. in the gastrointestinal area, breast and urogenital area. When asking patients at the time of inclusion, 25% (n=18) stated that they had sleeping problems **(Table 1)**.

#### **Sleeping habits**

When analyzing the MOS items, both at baseline and follow-up, a large individual variation was found concerning the patients sleeping problems. The number of hours of sleep on baseline and follow-up was not significantly different at the group level. The self-reported change in average sleeping hours between baseline and follow-up is depicted in **Figure 1**. Twenty-one patients did not change their sleeping time. The mean change was +9 minutes which is not statistically significant t (71) =0.92, p=0.36.

The patients reported that they became more tired with time of treatment and needed to rest more during the day as shown in items 4, 6 and 9 **(Table 2)** over time. In item 4, 62 patients responded that they experienced insufficient sleep, corresponding to 86% (data not shown).

**Study specific open-ended questions:** We found many similarities between the different patients regarding their experiences related to sleep disturbances. When linking the different responses, we put the answers in four different alternatives. We have missing data as a possible alternative because there were a lot of patients who preferred not to answer each of these questions.

In the first question (Describe how you experience the quality of your sleep?) We found four areas of responses (1) "Good/ well", (2) "Good sleep" but only after using sleeping pills, (3) "Insufficient" and (4) missing data (n= 5-10 at baseline and follow up respectively).

In question two, "What you think may be the cause of any sleep problems? we found five different types of responses: (1) nocturia, (2) cancer disease, (3) anxiety, (4) pain and (5) missing data (many patients preferred not to answer the question [n=35] both in baseline and in follow-up).

In question three "Describe your sleep pattern? We found four types of responses: (1) sleeps mostly at night, (2) sleeps mostly at day, (3) at night some days and at day some days in the week and (4) missing data (n= 2-7 at baseline and follow up respectively).

Based on the above described response areas, descriptive statistics were used to present the results.

The results from the open-ended questions revealed that 30% of the patients experienced insufficient sleep both at baseline and follow up **(Table 3)**. The proportion of patients who could sleep using sleeping pills was 6% at baseline and 8% at follow-up. Of those who reported having good sleep at the start of treatment, a 10% decline was reported in the quality of sleep after three months. The main reasons for poor sleep were "the disease"

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MOS sleep scale analysis using the Svensson method											
Items	PA	RP	SE	95% CI	RC	SE	95% CI	RV	SE	95% CI	
1. How long did it usually take for you to fall asleep during the past 4 weeks?	53%	-0.04	0.05	-0.14; 0.05	0.04	0.07	-0.11; 0.18	0.03	0.01	0.01; 0.05	
How often during t	How often during the past 4 weeks did you (same question from "3" to "12" but with different context)										
3. Feel that your sleep was not quiet (moving restlessly, feeling tense, speaking, etc., while sleeping)?	53%	-0.08	0.06	-0.21; 0.04	0.02	0.09	- 0.15; -0.18	0.13	0.04	0.04; 0.21	
4. Get enough sleep to feel rested upon waking in the morning?	43%	0.12	0.07	-0.02; 0.25	-0.02	0.07	-0.15; 0.12	0.25	0.09	0.08; 0.41	
5. Awaken short of breath or with a headache?	81%	-0.05	0.04	-0.13; 0.03	-0.06	0.04	-0.13; 0.02	0.003	0.003	0; 0.01	
6. Feel drowsy or sleepy during the day?	47%	0.19	0.07	0.06; 0.33	-0.01	0.08	-0.16; 0.13	0.17	0.07	0.04; 0.3	
7. Have trouble falling asleep?	49%	-0.07	0.06	-0.19; 0.05	-0.05	0.08	-0.21; 0.11	0.13	0.05	0.04; 0.22	
8. Awaken during your sleep time and have trouble falling asleep again?	51%	-0.09	0.06	-0.19; 0.02	-0.08	0.07	-0.22; 0.07	0.07	0.03	0.02; 0.12	
9. Have trouble staying awake during the day?	49%	0.21	0.07	0.08; 0.34	0.09	0.08	-0.07; 0.25	0.13	0.05	0.03; 0.23	
10. Snore during your sleep?	60%	-0.02	0.06	-0.14; 0.09	-0.03	0.06	-0.14; 0.09	0.07	0.03	0.01; 0.14	
11. Take naps (6 minutes or longer) during the day?	46%	0.07	0.06	-0.05; 0.19	0.1	0.08	-0.05; 0.26	0.19	0.07	0.06; 0.31	
12. Get the amount of sleep you needed?	46%	0.003	0.06	-0.12; 0.12	-0.01	0.08	-0.16; 0.14	0.11	0.04	0.03; 0.19	

#### Table 2 Medical Outcomes Study (MOS) sleep scale association between baseline and follow-up.

PAL: Percent Agreement; RC: Relative Concentration; RP: Relative Position; RV: Relative Rank Variance; SE: Standard Error; CI: Confidential Interval.

Significant values are given in bold

In the table were question 2 not included since is not evaluated on the same way see Figure I instead

and anxiety at baseline. At follow-up, anxiety was still one of the main causes to poor sleep together with nocturia. "The disease" however declined as a cause of sleeping disturbances and was reported by 56% at baseline and 51% at follow-up. Sleeping during the night time were reported by 95% (n=76) at baseline and 84% (n=61) at follow-up (**Table 3**).

### Health-related Quality of Life (HRQoL)

When comparing baseline and follow-up data on HRQoL we found a slight increase in fatigue between baseline and follow-up (Figure 2), RP=0.19 with 95% CI 0.05 to 0.32. Patients who reported a need to sit or stay in bed more often experienced limitations in social activity levels both in terms of family- and social life. Furthermore, patients that needed to rest more

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Table 3 Patients reported sleep quality in the open-ended questions.

Describe how you avantiance the swelity of your sleep?	n= (%)				
Describe now you experience the quality of your sleep?	Baseline	Follow-up			
1. Good/well	46 (58)	35 (48)			
2. Good sleep but only after using sleep pills	5 (6)	6 (8)			
3. Insufficient	24 (30)	22 (30)			
4. Missing data	5 (6)	10 (14)			
Total	80 (100)	73 (100)			
What you think may be the cause of any sleep problems?	Baseline	Follow-up			
1. Nocturia	6 (7,5)	13 (18)			
2. Disease/cancer	20 (25)	7 (10)			
3. Anxiety	18 (22.5)	16 (22)			
4. Pain	1 (1)	1 (1)			
5. Missing data	35 (44)	35 (49)			
Total	80 (100)	72 (100)			
Describe your sleep pattern?	Baseline	Follow-up			
1. Sleeps mostly at night	76 (95)	61 (84)			
2. Sleep mostly at day	1 (1)	1 (1)			
3. At night some days and at day some days on the week	1 (1)	3 (4)			
4. Missing data	2 (3)	7 (11)			
Total	80 (100)	72 (100)			

generally felt weaker **(Table 4)**. Concerning HRQOL, patients fluctuated from very poor to excellent as seen in **Figure 3** with individual variability, Relative Rank Variance of 0,22 (RV). On question 11 regarding the difficulty of sleeping, 51% (n=37) reported problems at baseline and 43% (n=31) experienced problems on follow-up an. Consequently, their HRQoL was heterogeneous as indicated also by the RV value. Only 44% of the patients were unchanged between baseline and follow up.

### Discussion

The present study assessed, with the perspective of cancer disease, the existence of sleep disturbances over a 3-month period during treatment. The role of somatic symptoms possibly caused by treatment alternatively the disease suggest that its' negative impact is important in preventing good sleep. The side effects such as anxiety, nausea, pain, diarrhea and tiredness were reported both at baseline and follow-up. Those somatic symptoms could increase sleep disturbances in the patients. Sleep is an important element of HRQoL in patients with cancer [18,19]. Anxiety as one classified problem of disturbed sleep was similar in both measurements, which agrees with findings from other studies [8-11]. The time patients were sleeping was largely considered enough, although it was interrupted in many patients by frequent nocturia and other symptoms as revealed through the answers to the open-ended questions. Nausea as a problem was reported with a small variability (EORTC QLQ C30 questionnaire). Coping strategies are effective ways of dealing with difficult situations such as long-term illness [20,21]. In the case of cancer, coping can make the patient regard the disease as a part of life [22]. In this study the disease as a cause of sleep disturbance was of less importance at follow-up compared to base line. However, this was not enough to regain a healthy sleep pattern as patients continued to report insufficient sleep even at follow-up.

Alcohol consumption is known to give sleep disturbance [23]. In the current study, 70% of the patients report that they use alcohol. However, we do not have specific data on the total quantity, only the frequency. Nor did we correlate the results to alcohol consumption.

From the perspective of data analysis, the strength of the study is that the use of two different instruments, one for examining sleep quality (MOS) and the other examining the HRQoL (EORTC QLQ C30) in connection with the start or replacement of systemic oncological treatment was of importance in this study. The problem could be seen on a validated basis. Additionally, although many patients did not respond to all questions in their entirety, the open-ended questions provided an advantage in that sleep problems were identified using the patient's own terms. The "Svensson method" is found to be robust even in small samples. The method not only analyze if there is a change, in contrast to the McNemars method [24], but also describes the size and direction of a change.

One limitation was that many patients did not answer the openended questions. With a higher response rate for the study-specific questions the results might have been different. The fact that it was a relatively small study may partly explain the heterogeneous image we found between the different instruments used. However, this

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EORTC QLQ C30 analysis with the Swenson method										
Items	PA	RP	SE	95% CI	RC	SE	95% CI	RV	SE	95% CI
1	45%	0.11	0.07	-0.03; 0.25	0.05	0.09	-0.12; 0.22	0.15	0.05	0.05; 0.24
2	46%	0.21	0.06	0.09; 0.34	0.7	0.09	-0.1; 0.25	0.12	0.04	0.03; 0.19
3	69%	0.15	0.06	0.03; 0.26	0.05	0.07	-0.08; 0.18	0.03	0.02	0; 0.06
4	36%	0.21	0.08	0.06; 0.35	-0.06	0.09	-0.24; 0.12	0.19	0.06	0.07; 0.3
5	93%	0.03	0.03	-0.02; 0.08	-0.06	0.03	-0.11; 0	0	0	0; 0
6	39%	0.13	0.08	-0.03; 0.3	0.06	0.08	-0.1; 0.23	0.37	0.09	0.19; 0.55
7	39%	0.19	0.08	0.03; 0.34	0.14	0.09	-0.03; 0.31	0.27	0.08	0.13; 0.42
8	43%	0.23	0.08	0.08; 0.38	0.11	0.09	-0.07; 0.28	0.18	0.06	0.06; 0.3
9	53%	-0.07	0.08	-0.21; 0.08	0.14	0.07	-0.01; 0.28	0.2	0.07	0.07; 0.33
10	47%	0.13	0.07	-0.02; 0.28	0.07	0.07	-0.08; 0.21	0.22	0.09	0.05; 0.39
11	54%	-0.04	0.06	-0.16; 0.09	-0.14	0.07	-0.28; -0.01	0.09	0.04	0.02; 0.16
12	44%	0.31	0.07	0.18; 0.44	-0.02	0.11	-23; 0.18	0.15	0.05	0.05; 0.25
13	60%	0.05	0.06	-0.08; 0.18	-0.13	0.06	-0.26; -0.01	0.08	0.03	0.01; 0.14
14	61%	0.16	0.06	0.04; 0.28	-0.09	0.05	-0.19; 0.02	0.04	0.02	0; 0.08
15	89%	0.06	0.04	-0.02; 0.13	-0.01	0.01	-0.04; 0.01	0	0	0; 0
16	69%	0.04	0.06	-0.07; 0.15	0	0.06	-0.11; 0.11	0.04	0.02	0; 0.08
17	63%	0.1	0.06	-0.01; 0.21	0.01	0.07	-0.12; 0.14	0.04	0.02	0; 0.09
18	50%	0.19	0.07	0.05; 0.32	-0.05	0.08	-0.2; 0.11	0.11	0.04	0.03; 0.19
19	53%	-0.11	0.07	-0.24; 0.02	0	0.07	-0.14; 0.14	0.1	0.04	0.02; 0.18
20	72%	0.02	0.06	-0.1; 0.13	-0.07	0.03	-0.13; 0	0.03	0.02	0; 0.07
21	59%	-0.12	0.06	-0.23; -0.01	0.08	0.07	-0.06; 0.22	0.04	0.02	0; 0.08
22	59%	-0.15	0.06	-0.27; -0.04	-0.08	0.07	-0.22; 0.06	0.07	0.03	0.01; 0.14
23	60%	0.09	0.06	-0.04; 0.21	-0.03	0.06	-0.14; 0.09	0.07	0.03	0.01; 0.14
24	65%	-0.04	0.05	-0.14; 0.07	0.13	0.05	0.03; 0.23	0.02	0.01	0; 0.04
25	65%	0.08	0.06	-0.04; 0.2	-0.02	0.04	-0.1; 0.05	0.05	0.02	0; 0.09
26	58%	0.2	0.07	0.07; 0.33	-0.07	0.07	-21; 0.06	0.12	0.06	0.01; 0.23
27	44%	0.13	0.07	-0.01; 0.27	-0.11	0.08	-0.28; 0.05	0.15	0.05	0.05; 0.26
28	83%	0	0.04	-0.08; 0.08	0	0.02	-0.05; 0.05	0	0	0; 0.01
29	44%	0.02	0.07	-0.1; 0.15	0.12	0.07	-0.02; 0.26	0.19	0.06	0.07; 0.31
30	33%	0.02	0.07	-0.12; 0.15	0	0.08	-0.16; 0.16	0.22	0.07	0.09; 0.35

Table 4 The European Organization for Research and Treatment of Cancer (EORTC) QLQ-C30 questionnaire. Association between baseline and follow-up.

PA: Percent Agreement; RC: Relative Concentration; RP: Relative Position; RV: Relative Rank variance; SE: Standard Error; CI: Confidential Interval Significant values are given in bold



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PA: Percent Agreement 33%; RC: Relative Concentration 0; RP: Relative Position 0.02; RV: Relative Rank variance 0.22. PA 33%; RP 0.02; RC 0; RV 2.22; Confidens Interval 0.09-0.035.

could also be interpreted as different aspects of sleep. In the MOS scale, 42% stated that the sleep was acceptable but not perfect while 58% regarded their sleep as unacceptable.

We could see that sleep disturbances exist. The question is what we can do to diminish the problem as we know that sleep is something the body needs to recuperate energy as well as being an important component to have HRQoL. It is important to profile a robust evidence base for the progress as well as a proper and dynamic intervention or managing program personalized to cancer patients.

## Conclusion

The perception of good sleep is individual. The cancer disease itself and anxiety seem to be the most common reasons for sleep disturbance among cancer patients undergoing treatment. Disease as a cause for sleep disturbances was reduced at followup, probably due to the patients establishing coping strategies. However, it was replaced by nocturia. As such, the cancer treatment does not seem to worsen nor improve the perception of the sleep disturbance. As sleeping disturbances is a major problem, this should be of concern in the clinical care for the patients. Information associating the validated surveys to genetic and biochemical information of the involvement of the circadian clock would be a valid next step of research in order to more thoroughly establish robust methods for analyzing sleep quality and its effects on the cancer patient.

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## **Conflict of Interest**

The authors declare that they have no conflicts of interest.

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